

JC949 U.S. PTO  
10/30/00

10-31-00

A

77473-12  
258/235

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.:  
First Named Inventor:  
Prior Application Information:  
Serial No.  
Examiner:  
Art Unit:

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D. C. 20231

JC945 U.S. PTO  
09/699667  
10/30/00

FILING UNDER 37 CFR § 1.53(b)

This is a request for filing for a

☒ continuation ☐ divisional ☐ continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of pending prior application Serial No.  
PCT/CA99/00391 filed on April 29, 1999, which claims priority to Canadian patent  
application 2,230,203, filed April 29, 1998 by

Perreault et al. entitled:

NUCLEIC ACID ENZYME FOR RNA CLEAVAGE

For CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an  
oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying  
continuation or divisional application and is hereby incorporated by reference. The incorporation can only be  
relied upon when a portion has been inadvertently omitted from the submitted application parts.

I. APPLICATION ELEMENTS ENCLOSED

CERTIFICATE OF MAILING  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the  
United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee'  
in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL360386766US  
Express Mail Label No.

Reynaldo Gallardo  
Name of Person Mailing Paper

October 30, 2000  
Date of Deposit

[Signature]  
Signature of Person Mailing Paper

09699667 103000

38 Page(s) of Written Description  
4 Page(s) of Claims  
1 Page(s) of Abstract  
7 Sheet(s) of Drawings ☐ formal ☐ informal  
4 Page(s) of ☐ Declaration or ☒ Declaration and Power of Attorney  
☐ Copy from prior application [37 CFR §1.63(d)]  
☒ Not executed

Other:

- ☐ Assignment papers (cover sheet and documents(s))  
☐ An Information Disclosure Statement, PTO 1449, ☐ with copies of cited items.  
☐ A Verified Statement to establish small entity under 37 CFR §§ 1.9 and 1.27: ☐ Is attached. ☐ Has been filed in the prior application and such status is still proper and desired. [37 CFR § 1.28(a)]

## II. FEE CALCULATION

<b>BASIC FILING FEE:</b>						\$710.00
Total Claims	19	-	20	=	0 x \$18.00	\$0.00
Independent Claims	1	-	3	=	0 x \$80.00	\$0.00
Multiple Dependent Claims	\$270	(if applicable)			<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$710.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.						\$355.00
Misc. Filing Fees (Recordation of Assignment)						\$0.00
<b>TOTAL FEES DUE HERewith</b>						\$355.00

## III. PRIORITY - 35 USC § 119

- ☒ Priority of application Serial No. 2,230,203 filed on April 29, 1998 in Canada is claimed under 35 USC § 119.  
☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.  
☐ The certified copy will follow.

## IV. AMENDMENTS

- ☐ Cancel in this application original Claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.)

- V. RELATE BACK - 35 USC § 120**

- ☐ Please amend the specification as follows:

☐ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]:

- [Name(s) of inventor(s) to be deleted]

☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]

- ☒ Filing fees.

- ☐ Recording assignment. [S40.00 37 CFR § 1.21(h)(1)]

- ☐ Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached.  
 [\$130.00; 37 CFR §§ 1.47 and 1.17(h)]

- ☐ Petition fee to Suspend Prosecution for the Time Necessary to File an Amendment (New Application Filed Concurrently.)  
[~~\$130.00~~; 37 CFR §§ 1.103 and 1.17(i)]

- ☐ For processing an application with a specification in a non-English language.

- [S130.00; 37 CFR §§ 1.52(d) and 1.17(k)]

- ☐
- Processing and retention fee.

- [§130.00; 37 CFR §§ 1.53(f) and 1.21(l)]

3

**VII. METHOD OF PAYMENT OF FEES**

- ☐ Attached is a check in the amount of \_\_\_\_.
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \$355.00.

**VIII. AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to credit Lyon & Lyon's Deposit Account No. **12-2475** for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. **12-2475**:

- ☒ 37 CFR § 1.16 (Filing fees and excess claims fees)
- ☒ 37 CFR § 1.17 (Application processing fees)
- ☐ 37 CFR § 1.18 (Issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR § 1.311(b))
- ☐ 37 CFR § 1.21 (Assignment recordation fees)

**IX. POWER OF ATTORNEY & CORRESPONDENCE ADDRESS**

- ☐ The power appears in the original papers in the prior application.
- ☐ The power does not appear in the original papers, but was filed on \_\_\_\_ in prior application Serial No. \_\_\_\_.
- ☐ A new power has been executed and is attached.

Please send all correspondence to Customer Number 22249:



LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071

Please direct all inquiries to Carol A. Schneider, at Telephone #.

**X. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION**

- ☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until \_\_\_\_\_. A copy of the petition for extension of time in the **prior** application is attached.
- ☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.

**XI. ABANDONMENT OF PRIOR APPLICATION**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item V above.

Respectfully submitted,

LYON & LYON LLP

Dated: October 30, 2000

By: Carol A. Schneider

Carol A. Schneider  
Reg. No. 34,923

Enclosures

Unexecuted Combined Declaration & Power of Attorney

Transmittal of Sequence Listing

Sequence Listing

Electronic copy of Sequence Listing